



UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-1070)

PATENT

IN THE APPLICATION OF:

Harper et al.

Serial No. 09/767,048

Filed: January 22, 2001

Title LNS High Availability And Load  
Balancing With LNS-To- LNS State  
Offloading

Examiner: Unknown

Group Art Unit: 2661

Confirmation No.: 4450

INFORMATION DISCLOSURE STATEMENT

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BOX DD  
Commissioner for Patents  
Washington, D.C. 20231-9999

JUL 1 2001  
Technology Center 2600

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular,

no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

### **CITED REFERENCES**

#### **U.S. Patent Documents**

None


#### **Other Documents**

1. Townsley et al., "*Layer Two Tunneling Protocol-L2TR*", Network Working Group, RFC:2661, August 1999, pages 1-80.

Respectfully submitted,

**McDonnell Boehnen Hulbert & Berghoff**

Date: 5/23/01

By:   
**Timothy R. Baumann**  
**Registration No. 40,502**

Form PTO-1449 (modified)

Atty. Docket No.  
00-1070Serial No.  
09/767,048

List of Patents and Publications for Applicants

Applicant

INFORMATION DISCLOSURE STATEMENT

Harper et al.

(Use several sheets if necessary)

Filing Date:  
January 22, 2001Group:  
UnknownU.S. Patent Documents  
*See Page 1*Foreign Patent Documents  
*See Page 2*Other Art  
*See Page 2*

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
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	A15						
	A16						
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EXAMINER:

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EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.  
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Applicant

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See Page 1Foreign Patent Documents  
See Page 2Other Art  
See Page 2

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						
	B4						
	B5						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Townsley et al., "Layer Two Tunneling Protocol-L2TR", Network Working Group, RFC:2661, August 1999, pages 1-80.
	C2	
	C3	
	C4	
	C5	
	C6	
	C7	
	C8	
	C9	
	C10	
	C11	
	C12	
	C13	
	C14	
	C15	

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PATENT

9P2661  
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BOX DD

Commissioner for Patents  
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Information Disclosure Statement;
- b. Form PTO-1449;
- c. Copy of IDS Citations 09/767,048 (1 reference);
- d. Return Receipt Postcard.

2. With respect to additional fees:

☒ No additional fee is required.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490.  
A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box DD, Commissioner for Patents, Washington, D.C. 20231 on this 23rd day of May, 2001.

By :

Timothy R. Baumann  
Reg. No. 40,502

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